

#3



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,572	06/14/2001	Monica Judith Roth	601-1-095N

CONFIRMATION NO. 5861

FORMALITIES LETTER



OC000000007267899

23565
KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NJ 07601

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 01/04/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$412.

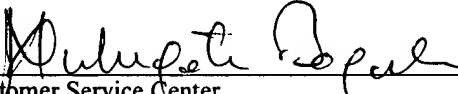
03/11/2002	HVUONG1	00000044	111153	09881572
■ \$117	for 13	total claims	over 20.	
■ \$160	for 4	independent claims	over 3.	
■ \$135	for multiple	dependent claim	surcharges	
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 832.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

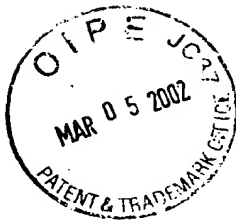
patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
601-1-095N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Roth and Bupp
EXAMINER : Unassigned
SERIAL NO. : 09/881,572
FILED : June 14, 2001
FOR : TARGETING VIRAL VECTORS TO SPECIFIC CELLS

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ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Attention: Box Missing Parts
Application Processing Division
Special Processing and Correspondence Branch

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence (along with any paper referred to as being transmittal herewith) is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on February 20, 2002.

Catherine Roseman Smith, Reg. No. 34,240
(Name of Registered Representative)

6/14/2002
(Signature and Date)

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 CFR 1.53(d)

Sir:

Responsive to the Notice to File Missing Parts of Application dated January 4, 2001, (copy enclosed) under 37 CFR 1.53(d), Applicants submit herewith the following:

1. A copy of the Notice to File Missing Parts received January 10, 2002.
2. A combined Declaration and Power of Attorney making reference to the above-identified application and in compliance with 37 C.F.R. §1.63;
3. A check in the amount of \$832 covering the following:

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ORIGINALLY FILED**

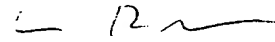
- (a) \$355 basic filing fee.
 - (b) Surcharge under 37 CFR 1.16 of \$65.00
 - (c) Additional claims fee of \$412.00
4. A computer readable copy of a Sequence Listing, a paper copy of the Sequence Listing, an Amendment directing entry of the Sequence Listing, and a statement as required by 37 C.F.R. § 1.821 et seq.
5. Small entity status is entitled to be asserted for the above-identified application under 37 C.F.R. §1.27(c).

The time set for this response is March 4, 2002.

A Filing Receipt reflecting the above is requested.

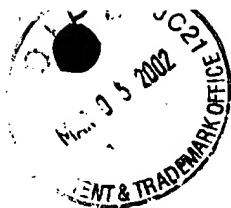
Applicants hereby authorize that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153.

Respectfully submitted,



Catherine Roseman Smith
Attorney for Applicant(s)
Registration No. 34,240

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, NJ 07601
(201) 487-5800
February 20, 2002



PAGE: 1
02/19/2002

VERIFICATION SUMMARY REPORT

DATE:

PATENT APPLICATION

TIME:

18:36:31

INPUT SEQ: A:\601-1-095N.txt

GENERAL INFORMATION SECTION

3,<110> Roth, Monica;
4, Bupp, Keith;
5, University of Medicine and Dentistry of New Jersey
7,<120> Targeting Viral Vectors to Specific Cells
9,<130> 601-1-095
11,<140> 09/881,572
12,<141> 2001-06-14
14,<150> 60/212,239
15,<151> 2000-06-16
17,<160> 27
19,<170> PatentIn version 3.0

ERRORED LINES SECTION

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nnnntcctcc 60
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Ala
W--> 317 Xaa Xaa Xaa Ser Ser Lys Tyr Gly Cys

STATISTICS SUMMARY

Application Serial Number: 09/881,572
Alpha or Numeric: Numeric
Application Class:
Application File Date: 2001-06-14
Art Unit:
Software Application: PatentIn
Total Number of Sequences: 27
Total Nucleotides: 111
Total Amino Acids: 512
Number of Errors: 0
Number of Warnings: 4
Number of Corrections: 0